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four major functions were found to be the responsibilities of entry-level investment bankers. Under each function, major tasks were identified that were required to be performed.

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Series 79 topics. The

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broadly covers the following topics: Data collection (required SEC filings and other documents) Various types of securities (debt, equity, options, derivatives) Economics and capital markets; Financial Analysis; Valuation; M&A Process and Deal

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to the range of topics
covered on the exam,
as well as the depth
of knowledge
required. The outline
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three major job
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representative. The table below lists the allocation of exam items for each major job function.

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